Attorney's Docket No.: 17601-063001 / 067083.0300

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph A. Zupanick Art Unit: 3672

Serial No.: 10/811,118 Examiner: Frank Tsay

Filed: March 25, 2004 Conf. No.: 9210

Title : SYSTEM AND METHOD FOR DIRECTIONAL DRILLING UTILIZING

CLUTCH ASSEMBLY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### REQUEST FOR INITIALED PTO FORM 1449

The Notice of Non-Compliant Information Disclosure Statement mailed December 12, 2006 indicates the Information Disclosure Statement filed November 21, 2006 lacked a statement as specified in 37 CFR 1.97(e). Applicant's records show that this Information Disclosure Statement did include a statement in accordance with 37 CFR 1.97(e). A copy of the Information Disclosure Statement and PTO Form 1449 are included with this Request.

In view of the above, Applicant respectfully requests that the Examiner initial and return the PTO Form 1449 form as soon as possible. Note, Applicant is paying the issue fee which is due by December 21, 2006, concurrently herewith.

Applicant : Joseph A. Zupanick

Serial No. : 10/811,118 Filed : March 25, 2004

Page

: 2 of 2

Respectfully submitted,

Attorney's Docket No.: 17601-063001 / 067083 0300

Joshua A. Griswold Reg. No. 46,310

Fish & Richardson P.C.

Date: December 21, 2006

1717 Main Street Suite 5000

Dallas, Texas 75201

Telephone: (214) 292-4034 Facsmile: (214) 747-2091

90204482 doc

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph A. Zupanick

Art Unit : 3672

Serial No.: 10/811,118

Examiner: Frank Tsay

Filed

: March 25, 2004

Conf. No. : 9210

Title

: SYSTEM AND METHOD FOR DIRECTIONAL DRILLING UTILIZING

CLUTCH ASSEMBLY

#### MAIL STOP AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicant requests consideration of the reference listed on the attached PTO-1449 form. A copy of a communication from a foreign patent office in a counterpart application is enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. The item of information in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application, the communication being dated October 5, 2005, which is not more than three months prior to the filing of this statement. The Commissioner is hereby authorized to charge the amount of \$180 in payment of the late submission fee of § 1.17(p), and any other deficiencies or required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

Respectfully submitted,

4 Susulal

Joshua A. Griswold Reg. No. 46,310

Fish & Richardson P.C.

1717 Main Street

Suite 5000

Dallas, Texas 75201

Telephone: (214) 292-4034 Facsimile: (214) 747-2091

90196520.doc



ŧ				

Sheet \_1\_ of \_1\_

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17601-063001

Application No. 10/811,118

# Information Disclosure Statement by Applicant

(Use several sheets if necessary)

The state of the s

Applicant
Joseph A. Zupanick

Filling Date

Group Art Unit 3672

(37 CFR §1.98(b))

March 25, 2004

U.S. Patent Documents Examiner Desig. Publication **Document** Filing Date initial 10 Number Date If Appropriate Patentee Class Subclass Al Α2 A3 A4 AS. A6 A.7 A8 A9 A10 All

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	10	Number	Date	Patent Office	Class	Subclass	Yes	No
	81							
	B2							
	<b>B</b> 3							
	194							
	<b>B</b> 5							

Examiner	Desig.	ocuments (include Author, Title, Date, and Place of Publication)
Initial	ID	Document
	C3	Notification Concerning Transmittal of Copy of International Preliminary Report on Patentability (1 page), International Preliminary Report on Patentability (1 page), and Written Opinion of the International Searching Authority (5 pages) for International Application No. PCT/IJS2005/008774 mailed October 5, 2006
	C2	`
	C3	
	C4	

Examiner S	lignature
------------	-----------

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Electronic Patent	App	lication Fe	e Transi	nittal		
Application Number:	10	811118				
Filing Date:	25	-Mar-2004				
					:	
Title of invention:		STEM AND METI UTCH ASSEMBL		ECTIONAL DRIL	LING UTILIZING	
First Named inventor/Applicant Name:	Jos	seph A. Zupanick			·	
Filer:						
Attomey Docket Number:	087083,0300					
Filed as Large Entity						
Utility Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:	••••••					
Claims:	***************************************					
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:					2	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:			‡	
Submission-Information Disclosure Stmi	1806	1	180	180
	Tota	al in USC	) (\$)	180



# Electronic Acknowledgement Receipt EFS (D: 1326824 **Application Number:** 10811118 International Application Number: Confirmation Number: 9210 SYSTEM AND METHOD FOR DIRECTIONAL DRILLING UTILIZING Title of Invention: CLUTCH ASSEMBLY First Named Inventor/Applicant Name: Joseph A. Zupanick Customer Number: 26231 PHer Joshua A. Griswold/Susan Williams Filer Authorized By: Joshua A. Griswold Attorney Docket Number: 067083,0300 Receipt Date: 21-NOV-2006 Filing Date: 25-MAR-2004 Time Stamp: 16:03:22 **Application Type:** Utility Payment information: Submitted with Payment yes Payment was successfully received in RAM \$180

## Deposit Account File Listing:

RAM confirmation Number

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)

061050

251

1	Information Disclosure Statement (IDS) Filed	17601063001ide.pdf	657431	no	2					
Wamings:										
Information:										
This is not an	USPTO supplied IDS fillable form									
2	NPL Documents	17601063001npldocument.p df	2177455	no	7					
Wamings:		<u> </u>								
Information	3									
3	Fee Worksheet (PTO-875)	føe-info.pdf	8216	no	2					
Wamings:										
Information	*	·····			······································					
***************************************	Total Files Size (in bytes): 2843102									

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.